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## Investigating the impact of soil moisture on European summer climate in ensemble numerical experiments

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1 **Investigating the impact of soil moisture on European**  
2 **summer climate in ensemble numerical experiments**

3 **Constantin Ardilouze · Lauriane Batté · Michel**  
4 **Déqué · Erik van Meijgaard · Bart van den**  
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8 **Abstract** A better anticipation of high-impact heat and drought on human activity is  
9 the underlying motivation of many climate studies focused on the summer season. Al-  
10 though a large body of research has already highlighted the prominent impact of soil  
11 moisture anomalies on summer mid-latitudes climate variability and predictability, it  
12 still leaves room for a wide range of uncertainty and sometimes contradictions. The  
13 present work aims at revisiting soil moisture sensitivity studies by comparing an ide-  
14 alized ensemble model experiment in which soil moisture conditions are prescribed  
15 with a reference experiment in which soil moisture evolves freely. Two regional cli-  
16 mate models centered over Europe contribute to these experiments and generate very  
17 similar results. Simulations with constrained soil moisture display significantly in-  
18 creased correlation between observed and simulated seasonal anomalies of maximum  
19 temperature, precipitation and surface solar radiation, as compared to the reference  
20 experiment. This widespread increase is not restricted to regions already known as  
21 hot-spots of land-atmosphere coupling such as southern Europe, where evapotranspi-  
22 ration is mainly driven by soil moisture. In spite of a limited change in the ensemble  
23 spread, the sensitivity experiments show a substantially modified magnitude of tem-  
24 perature and precipitation variability. A focus on two case studies reveal contrasting  
25 results for the 2003 and 2010 heat waves. These results stress the prominent role  
26 of soil moisture as a boundary condition of the climate system in Europe, including  
27 regions that have not been highlighted by previous sensitivity works.

28 **Keywords** Summer climate variability · Soil moisture · Regional Climate mod-  
29 elling · Land-atmosphere coupling · Ensembles

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## 1 Introduction

A wide range of human activities in mid-latitude regions are specifically affected by summer climate, e.g. crop management and harvesting, energy supply, tourism, or heat-related health issues (Field and Barros, 2014). Global warming is expected to increase the frequency and amplitude of extreme summer events like heat waves and droughts, prone to impact these activities (Roudier et al, 2016; Vautard et al, 2014). The need for improved anticipation and preparedness emphasizes the expectations on the quality and usefulness of summer seasonal forecasts (Buontempo et al, 2014). Summer is characterized by a weaker atmospheric variability than winter, which favors local drivers of predictability (Doblas-Reyes et al, 2000), such as the land component of the climate system, and in particular soil moisture. However, predictability studies focusing on soil moisture initialization have led to contrasting results over Europe when considering either sub-seasonal (van den Hurk et al, 2012) or seasonal time-scales (Ardilouze et al, 2017). In the latter case, an improved soil moisture initialization in spring conveys a robust increase of temperature prediction skill in the subsequent summer over Southeast Europe. An increase is also seen over other regions such as Scandinavia and Eastern Europe, although to a lesser extent. Similar results are found when refining the land surface scheme of the forecast system (Bunzel et al, 2018).

These findings only partially fulfill expectations derived from investigations relating soil moisture and summer climate variability. The physical rationale relies on the influence of soil moisture on the exchange of energy and water from the surface to the bottom layers of the atmosphere through evapotranspiration. For a noticeable impact on climate inter-annual variability, and hence potential predictability, the soil water content must be abundant enough, but also highly variable from one year to another (Orth and Seneviratne, 2017). In addition, soil moisture anomalies must be persistent enough to impact the atmosphere at the seasonal scale (e.g. Seneviratne and Koster (2012)). These conditions are fulfilled in so called "transitional regions" between arid and wet climates. Quesada et al (2012) and Mueller and Seneviratne (2012) showed observational evidence of spring soil moisture anomalies pre-conditioning subsequent summer hot days.

Several studies have contributed to identify those regions where soil moisture can modulate the surface climate in boreal summer. The first initiative based on multiple dynamical models to characterize these regions was the Global Land-Atmosphere Coupling Experiment (GLACE, Koster et al (2004)). Since then, and despite differences due to methodology or model response, further studies on land-atmosphere interactions have agreed on a number of coupling hot-spots. Over boreal mid-latitudes, the US Great Plains and Mediterranean Europe have been identified as such (Seneviratne et al, 2006; Dirmeyer, 2011). At the regional scale, the EURO-CORDEX multi-model evaluation from Knist et al (2017) confirms southern (northern) Europe as a strong (weak) land-atmosphere coupling region in summer over recent years. In that study, the coupling strength is assessed through correlation between variables connected to surface exchange processes and compared to references from station observations and gridded reanalysis. The transitional zone between strong and weak

74 coupling across Central Europe is only roughly defined due to inter-model spread and  
75 observational uncertainties.

76 Soil moisture deficit also plays a role in the amplification and persistence of ex-  
77 treme heat waves, in particular those of Western Europe in 2003 (Fischer et al, 2007;  
78 Weisheimer et al, 2011) and Russia in 2010 (Miralles et al, 2014). The physical pro-  
79 cesses at play in linking spring drought to summer hot days over Europe are described  
80 in Zampieri et al (2009) and Quesada et al (2012). Once heat waves have settled, en-  
81 hanced sensible heat originating from dried-out soils exerts a positive amplification  
82 feedback. Although Western Europe and Russia are not considered as hot-spots, pre-  
83 dictability studies such as Prodhomme et al (2016) have shown that an accurate soil  
84 moisture initialization was needed to correctly capture heat wave events such as the  
85 Russian summer of 2010.

86 The sensitivity of European summer climate inter-annual variability to soil mois-  
87 ture is therefore incompletely understood and related studies usually fall into one  
88 of the two following categories. On the one hand, predictability studies help ex-  
89 ploring the actual prediction skill of coupled forecast systems associated to land  
90 surface initialization, but they cannot bring much information on the sensitivity of  
91 climate variability to the soil moisture component throughout the integration of the  
92 ensemble simulations. On the other hand, model sensitivity studies usually rely on  
93 a model single long-term integration for which years cannot be compared individu-  
94 ally to observations. Combining both approaches can help bridging the gap between  
95 predictability studies relying on initial conditions and sensitivity studies focusing on  
96 land-atmosphere coupling and seasonal variability. Here, we study the capacity of  
97 climate model simulations to reproduce observed atmospheric inter-annual anoma-  
98 lies when soil moisture is ideally constrained compared to simulations when soil  
99 moisture is only prescribed at initialization. In the approach we have chosen, both  
100 sets of simulations consist of an ensemble of summer season simulations initialized  
101 by the same pseudo-observed soil water content, but in one case the simulated soil  
102 moisture evolves freely afterwards while in the other case, it is constrained towards  
103 pseudo-observations throughout the course of the model integration. Such an ide-  
104 alized set-up is inspired by the experimental framework applied in the PROVOST  
105 project (Palmer et al, 2000), in which sea surface temperature was prescribed instead  
106 of soil moisture. The comparison focuses on the model accuracy to reproduce the ob-  
107 served inter-annual variability and their ability to capture two extreme summers. The  
108 experiments have been performed with regional climate models (RCMs) in order to  
109 benefit from more detailed surface characteristics and a better simulation of extremes  
110 than coarser global models (Flato et al, 2013). Two distinct RCMs contribute to this  
111 study to better assess the model-dependence of our results.

112 The paper is structured as follows: section 2 describes in more detail the experi-  
113 mental set-up, the observational reference datasets and the model evaluation metrics.  
114 Results are commented in section 3. Finally, section 4 summarizes the main conclu-  
115 sions and discusses limitations and perspectives to this study.

## 116 2 Experiments and data

### 117 2.1 RCMs and dynamical downscaling

118 The experimental setup is based on the dynamical downscaling of an ensemble of  
119 seasonal-scale simulations initially performed with General Circulation Models (GCMs)  
120 on a coarse global grid. These global simulations provide lateral boundary condi-  
121 tions to RCMs over a high resolution ( $0.22^\circ$ ) domain covering Europe. The nested  
122 experiments are carried out with either interactive (-REF hereafter) or prescribed (-  
123 SOIL hereafter) soil moisture over the high-resolution domain. More specifically, two  
124 RCMs contributed to this study: RACMO 2.2 (Van Meijgaard et al, 2012), carrying  
125 HTESSEL as land surface scheme (Balsamo et al, 2009) and a version of ALADIN-  
126 CLIMAT 5 (Colin et al, 2010) with a refined land surface scheme (SURFEX 7.2  
127 (Masson et al, 2013) including a multi-layer soil diffusion scheme (Decharme et al,  
128 2011)). The common spatial domain is EURO-CORDEX EUR-22 (boundaries:  $\sim 27^\circ\text{N}$   
129  $72^\circ\text{N}$ ,  $\sim 22^\circ\text{W}$   $45^\circ\text{E}$ , spatial resolution:  $0.22^\circ$ ). The experiments are listed in Table  
130 1. For compatibility reasons, the lateral boundary conditions are different for each  
131 RCM. They are provided by two forcing GCMs, namely EC-Earth 3.1 (Hazeleger  
132 et al, 2010) for RACMO and CNRM-CM (Voldoire et al, 2013) for ALADIN. All  
133 experiments consist of 15-member ensembles of 20-year summer season simulations  
134 initialized on May 1st and spanning the 4 months from May to August 1993 to 2012.  
135 The main characteristics of the forcing global simulations are reported in Table 2.  
136 The ensemble spread originates solely from these global simulations. Note that the  
137 RACMO reference experiment (here R-REF) along with its forcing GCM simulation  
138 are also used and further described in Manzananas et al (2017). Additional details on  
139 the CNRM-CM seasonal forecast system used to generate ALADIN lateral boundary  
140 conditions are provided in Batté et al (2018).

### 141 2.2 Soil moisture reference and prescribing techniques

142 Because of too scarce or superficial observations, global soil moisture estimates are  
143 often derived from land surface model (LSM) reconstructions. Various datasets result  
144 from offline LSM runs constrained by atmospheric reanalysis forcing (e.g. Sheffield  
145 and Wood (2007), Reichle et al (2011)). ERA-Interim/Land (Balsamo et al, 2015)  
146 (hereafter denoted as ERA-Land) is a reconstruction based on the HTESSEL LSM  
147 (Balsamo et al, 2009; Albergel et al, 2012) forced by atmospheric input derived from  
148 the ERA-Interim reanalysis (Dee et al, 2011) with corrected precipitation. In spite  
149 of not assimilating observed data, the fair results of ERA-Land verification against  
150 observations justify its use as a reference for soil water content estimates. Here, ERA-  
151 Land is used to prescribe soil moisture in the dedicated RCM experiments, namely  
152 R-SOIL and A-SOIL. It is also used to initialize the land surface component in the  
153 GCM simulations that provide forcing boundary conditions to the four RCM exper-  
154 iments. As can be seen from Table 2 summarizing GCM forcing experiments, the  
155 CNRM-CM land component differs from that of ERA-Land. To perform the initial-  
156 ization of land surface from ERA-Land, an interpolation based on a transfer function

157 is applied (Boisserie et al, 2016). Another possibility would have been to retrieve ini-  
 158 tial conditions for the land surface from an offline simulation of the SURFEX LSM.  
 159 Here, we choose to use the same dataset to initialize both GCMs so as to limit dis-  
 160 crepancies in the experimental design.

161 ERA-Land was also used to constrain soil moisture in the RCM experiments  
 162 with ALADIN and RACMO (A-SOIL and R-SOIL, respectively, see Table 1). Al-  
 163 though RACMO and ERA-Land share the same underlying LSM, the difference in  
 164 spatial resolution implies that one ERA-Land grid cell, corresponding to one soil  
 165 type, matches multiple RACMO grid cells potentially differing in terms of soil type  
 166 and thus hydrological properties. Thus, the transfer from ERA-Land to RACMO is  
 167 performed by interpolating a soil moisture index (SMI). It is computed following  
 168 Equation 1, where  $\theta$  is the volumetric soil water content,  $\theta_{wp}$  the wilting point of the  
 169 considered soil layer and  $\theta_{fc}$  the field capacity.

$$SMI = \frac{\theta - \theta_{wp}}{\theta_{fc} - \theta_{wp}} \quad (1)$$

170 SMI is then converted back into water content in the target grid, taking into ac-  
 171 count the soil type attributed to each cell. These retrieved water content values replace  
 172 simulated water content prognostic fields during the RCM integration once a day at  
 173 00Z. In the case of ALADIN, ERA-Land soil water content is interpolated onto the  
 174 SURFEX grid using the aforementioned transfer function, also based on SMI re-  
 175 gridding. Then, at each time step of the model integration, simulated soil moisture  
 176 fields are strongly nudged (Douville, 2003; Douville et al, 2016) towards those de-  
 177 rived from the interpolated ERA-Land data of the corresponding day. If we call  $X$  the  
 178 soil water content prognostic variable of a considered soil layer, then the temporal  
 179 evolution of  $X$  in A-SOIL follows the nudging Equation 2, where  $M(X)$  is the ten-  
 180 dency term for  $X$ ,  $\sigma$  is a vertical profile factor comprised between 0 and 1,  $X^{ref}$   
 181 the reference soil water value derived from ERA-Land, and  $\tau$  a characteristic relaxation  
 182 time. Here,  $\sigma$  was set to 1 and  $\tau$  to 24 hours for every soil layer. We justify this a  
 183 priori choice of relaxation time by the need for a strong nudging of soil moisture to  
 184 stay close to the R-SOIL set-up. This is the case when the soil water content char-  
 185 acteristic time is close to or greater than one day. We infer that only the superficial  
 186 layer water content evolves at a faster pace than one day. There, our nudging remains  
 187 loose but the memory of superficial moisture anomalies is negligible at seasonal time  
 188 scales. Furthermore, applying a sub-daily nudging would require to carry out a dedi-  
 189 cated time interpolation of ERA-Land daily data, hence introducing a new source of  
 190 uncertainty.

$$\frac{\partial X}{\partial t} = M(X) + \sigma \cdot \frac{X^{ref} - X}{\tau} \quad (2)$$

191 Note that for R-SOIL and A-SOIL, the method used to prescribe soil moisture  
 192 has been applied similarly to the 15 ensemble members. The ensemble spread results  
 193 from perturbations in the atmospheric component in the forcing GCMs. As an illus-  
 194 tration of the nudging technique, Figure 1 compares A-REF and A-SOIL spread for  
 195 superficial volumetric soil water content over FR for a randomly selected year (1998)

196 where the box and whiskers depict the day-to-day intra-ensemble spread in ALADIN  
197 experiments.

198 Soil moisture-related metrics should focus on the amount of soil water content  
199 prone to impact climate at the seasonal scale. We therefore use SMI as previously  
200 described, computed over the root-zone only. This fraction of soil water available for  
201 evapotranspiration is commonly used in climate studies considering land-atmosphere  
202 coupling (e.g. Betts (2004)) or to retrieve comparable soil moisture between different  
203 LSMs that do not share the same soil parametrization and discretization (e.g. Bois-  
204 serie et al (2016); Douville (2003)).

205 The characteristics of the models led us to use two slightly different techniques  
206 to constrain soil moisture, as described above. However, since both R-SOIL and A-  
207 SOIL soil water contents have been guided towards the same reference data, they  
208 should be almost perfectly correlated to each other. This is verified in supplementary  
209 Figure S.1 where, as expected, these correlations are close to 1 between A-SOIL and  
210 R-SOIL experiments over the whole domain. One remarkable exception is northern  
211 Finland. This is the only region where A-SOIL SMI is not correlated to ERA-Land  
212 in spite of the nudging (not shown). This discrepancy is due to abundant soil water  
213 content with a relatively low inter-annual variability in this region, characterized by  
214 organic soils in ERA-Land. After nudging, the soil water content in ALADIN re-  
215 mains well below that of ERA-Land, probably reaching a saturation threshold, which  
216 prevents the inter-annual variability signal to be properly conveyed from ERA-Land  
217 to ALADIN. Overall, since A-SOIL and R-SOIL have an almost identical soil mois-  
218 ture inter-annual variability, we conclude that the different approaches to constrain  
219 their soil moisture do not hamper the inter-model comparison.

### 220 2.3 Atmospheric reference data and evaluation metrics

221 By design, models represent climate dynamics and processes in an incomplete way.  
222 Consequently, they produce systematic errors leading to a biased simulated climate  
223 with respect to observations. A straightforward and commonly-used method to re-  
224 move the bias in climate predictions is to consider observed and simulated anomalies  
225 relative to their respective climatologies for a given verifying time. The assessment  
226 carried out in this study relies on this approach. The anomalies are seasonally aver-  
227 aged over boreal summer, i.e. the 3-month June to August (hereafter JJA) period.

228 Three focus regions are defined, over France (hereafter FR), Sweden (SW) and a  
229 region straddling Ukraine and Russia (RU). They are depicted on Figure 2 and their  
230 boundary coordinates are reported in supplementary Table S.1. All three have very  
231 distinct climate features : mainly ocean temperate for FR, continental with warm  
232 summers for RU and continental with cool summers for SW. RU and FR have also  
233 been selected for a specific analysis of extreme summers during the full simulation  
234 period (respectively 2010 and 2003).

235 The reference observed daily precipitation, minimum temperature ( $T_{min}$ ) and  
236 maximum temperature ( $T_{max}$ ) at screen level are taken from EOBS v.14 European  
237 gridded data set at  $0.25^\circ$  (Haylock et al, 2008). Local station observational data of  
238 monthly downward surface shortwave radiation (DSSR) are derived from the Global

239 Energy Balance Archive (GEBA) (Sanchez-Lorenzo et al, 2015). Neither EOBS nor  
240 GEBA is a reanalysis *stricto sensu*, meaning that they are completely independent  
241 from any model physical parametrization.

242 The first part of section 3 presents a deterministic assessment of the experiments.  
243 This implies that the metrics are only based on their ensemble mean. It relies mainly  
244 on Pearson correlation over time of grid point or area-averaged variables, and mean  
245 square errors. The statistical significance is derived from 95% confidence intervals,  
246 computed after a Fisher z-transformation of the correlation coefficient, or after the  
247 method by Zou (2007) in the case of correlation difference. The latter is recom-  
248 mended by Siegert et al (2017) to detect correlation improvements.

249 The probabilistic evaluation of our experiments allows to extract information  
250 given by the ensemble members. In particular, the second part of section 3 details  
251 the impact of the experimental set-up on the ensemble spread, followed by an analy-  
252 sis of variance for Tmax and precipitation over the three focus regions.

## 253 3 Results

### 254 3.1 Ensemble mean evaluation

#### 255 3.1.1 Inter-annual variability over the simulation period

256 Before verifying the capacity of the models to capture inter-annual climate anomalies  
257 related to a boundary condition such as soil moisture, we first need to assess how well  
258 soil moisture inter-annual variability is simulated in the unconstrained simulations.  
259 This is achieved by computing SMI JJA correlations to ERA-Land for both reference  
260 experiments R-REF and A-REF. Figure 3 shows that these initialized experiments  
261 manage to significantly capture the inter-annual sign of soil moisture anomalies over  
262 less than 2/3 of grid points. The only common regions with a fair signal are Iberia  
263 and a strip extending from southern Germany to the Black Sea. SMI correlations are  
264 irrelevant, and therefore masked out, in desert regions of North Africa and the Middle  
265 East where the soil water content is very small. In addition to correlation, the mem-  
266 ory of soil moisture, i.e. the degree of persistence of spring anomalies throughout  
267 summer, is assessed over the three focus regions described in section 2.3 (Fig. 4).  
268 Unlike A-REF, a fair agreement is found between R-REF and ERA-Land over RU  
269 (long-lived soil moisture memory) and SW (short-lived soil moisture memory). It is  
270 likely that R-REF and ERA-Land are better matches since both datasets are based on  
271 the same LSM. However, A-REF SMI memory is closer to ERA-Land than R-REF  
272 over FR (intermediate memory), suggesting that memory is not exclusively deter-  
273 mined by the LSM formulation. The sharp drop in correlation during the first days  
274 for A-REF over the three regions may result from an initialization shock triggered  
275 by the difference between ALADIN and ERA-Land surface schemes. The diversity  
276 of models response to soil moisture initialization, together with the uncertain rep-  
277 resentation of reality by ERA-Land as a model-dependant reference are challenging  
278 aspects when considering the contribution of soil moisture to climate inter-annual  
279 variability. However, they confirm *a priori* the added value of comparing two mod-



280 els in this study. Furthermore, forcing soil moisture towards the same reconstructed  
281 values in both models allows to obtain two sets of idealized atmospheric simulations  
282 with a common 'perfect' evolution of soil moisture as a boundary condition.

283 Daytime surface turbulent heat fluxes balance the major part of incoming energy  
284 from solar radiation. Consequently, evapotranspiration has a pronounced diurnal cycle  
285 (Novick et al, 2009) and reaches a minimum during nighttime, in the absence  
286 of incoming shortwave radiation. Furthermore, Tolck et al (2006) showed that in a  
287 semi-arid environment, virtually all the nighttime fraction of evapotranspiration is  
288 prescribed by atmospheric conditions. Thus, in regions where soil moisture is con-  
289 sidered a major driver of evapotranspiration, we can infer that the coupling between  
290 soil moisture and the boundary layer gets cut-off at night. Based on that assump-  
291 tion, we assess separately the sensitivity of Tmin and Tmax seasonal anomalies to  
292 soil moisture, the former value being generally reached at the end of the night. Time  
293 correlations for Tmin (Fig. 5) show little difference between SOIL and REF exper-  
294 iments for both models, except a substantial gain over the easternmost part of the  
295 domain for R-SOIL (Fig. 5(c)). Conversely, prescribing soil moisture brings a spec-  
296 tacular increase in correlation for Tmax (Fig. 6) for both models, over almost the  
297 whole domain. The only regions exhibiting limited signal in SOIL experiments are  
298 roughly patches of North-West Europe and Poland for RACMO and most of the Alps  
299 for ALADIN. This overall improvement was expected for southern Europe, where  
300 soil moisture is the main driver of evapotranspiration. For the other regions where  
301 Tmax variability benefits from improved soil moisture, we hypothesize that the other  
302 terms of the surface energy budget play a prevailing role. Since water content impacts  
303 the soil heat capacity, it is likely that the SOIL experiments have more realistic inter-  
304 annual variability of both ground heat fluxes and sensible heat fluxes. Verifying this  
305 against observation is very challenging because of the lack of reliable observations  
306 and the model-dependent parametrization of turbulent fluxes. However, the compared  
307 correlations of these fluxes between models (supplementary Fig. S.2 and S.3) shows  
308 consistent inter-annual variability in both SOIL experiments, even at higher latitudes.  
309 Additionally, over Northeast Europe, SOIL experiments are better correlated for sen-  
310 sible than for latent heat fluxes.

311 Another noticeable feature is the relative match for each REF experiment between  
312 the regions with SMI and Tmax significant correlation (Fig.3(a) and 6(a), 3(b) and  
313 6(c)). Even if correlations do not give insight on causal relationship, this result is  
314 consistent with a strong link existing between soil moisture and temperature inter-  
315 annual variability over Europe in summer.

316 Since improved soil moisture variability affects Tmax, it may also impact the  
317 convective boundary layer and diurnal cloud development. We use observed incom-  
318 ing solar radiation variability as a proxy to verify this hypothesis. Time correlation  
319 for DSSR between in-situ observations at GEBA stations and simulations at the cor-  
320 responding grid point are reported on Figure 7. SOIL experiments show either higher  
321 or unchanged correlations as compared to REF. The correlation increase concerns  
322 mainly Northeastern-most locations, but also central Europe for R-SOIL. Hence,  
323 over these regions, seasonal soil moisture anomalies likely drive cloud cover anom-  
324 alies to a certain extent, even if the processes involved cannot be clearly identified  
325 from our study. Based on our results on turbulent fluxes, we can only hypothesize

326 that soil moisture anomalies mainly impact sensible heat fluxes which in turn in-  
327 fluence the diurnal boundary layer development and ultimately cloud evolution. A  
328 dedicated process-oriented experiment would be required to specify the underlying  
329 mechanisms.

330 It is well known that the precipitation skill of seasonal forecast systems is very  
331 limited over Europe (Rodwell and Doblas-Reyes, 2006). This is also the case in our  
332 REF experiments despite dynamical downscaling (Fig. 8 (a) and (c)). However the  
333 idealized framework of SOIL experiments leads to a strong increase in precipitation  
334 correlation for both models (Fig. 8 (b) and (d)). It should be noted that the regions  
335 benefiting from this improvement are not restricted to southern Europe, usually pin-  
336 pointed as a hotspot of land-atmosphere coupling. Here again, models partly agree on  
337 the regions with increased correlation, but most of the areas covered by our three sub-  
338 domains of interest, namely FR, RU and SW, are concerned. The root mean square  
339 error of precipitation anomalies is also reduced overall in SOIL experiments as com-  
340 pared to REF, although this reduction is hardly significant (supplementary Fig. S.4).

### 341 3.1.2 Seasonal bias

342 The Tmax summer temperature bias is shown in Figure 9. The slight cold bias present  
343 over the vast majority of the domain in REF for both models is further increased in  
344 SOIL experiments, in particular over Eastern Europe and Russia. In the case of AL-  
345 ADIN, the cooling impact of prescribed soil moisture is very likely due to the increase  
346 of mean soil water content in the SOIL experiment with respect to REF (not shown).  
347 Consequences in terms of temperature variance are discussed in the next section. As  
348 for precipitation, (Supplementary Fig. s.5), the simulations with prescribed soil mois-  
349 ture tend to produce more precipitation across the whole domain. Thus, dry biases in  
350 REF are reduced in SOIL, but wet biases are amplified. Overall, the mean climate  
351 of both models is altered with respect to the reference data when soil moisture is  
352 prescribed.

353 All the results presented so far demonstrate a widespread sensitivity of summer  
354 inter-annual variability to soil moisture boundary conditions. Despite a slight degra-  
355 dation of the model mean climate with respect to observations, SOIL experiments  
356 better capture the sign of annual anomalies. Beyond consequences on the ensemble  
357 mean, we now investigate the impact of soil moisture prescription in a probabilistic  
358 framework. To that end, the next section focuses on the sensitivity of the ensemble  
359 spread to our experimental design and on the analysis of inter-annual and intra-annual  
360 variance.

## 361 3.2 Probabilistic evaluation

### 362 3.2.1 Regional spread

363 The same nudging has been applied across the whole domain for all the ensemble  
364 members of SOIL experiments. Consequently, one can expect by design a smaller  
365 soil wetness ensemble spread than in REF for which soil moisture is not constrained.

Figure 1 compares A-REF and A-SOIL spread for superficial volumetric soil water content over FR for a randomly selected year (e.g. 1998) where the box and whiskers depict the day-to-day intra-ensemble spread in ALADIN experiments. This example illustrates the extent to which the soil moisture spread is reduced in the nudged experiment. We generalize this spread evaluation for both models by computing the quadratic mean of the SMI ensemble variance for each day of the 4-month May-to-August period over the 20-year period (Supplementary Equation S.1). The JJA mean of these daily values is displayed in Table 3 for the three focus regions. For both models, and despite different techniques, soil moisture prescription at a daily frequency leads to a reduction of spread by about 70 %, regardless of the considered region. The spread reduction is higher for RACMO than for ALADIN, which is not surprising since the relaxation technique in ALADIN constrains soil moisture more softly than the replacement technique applied in RACMO.

Theoretically, the reduction of spread for soil moisture could translate into a reduction of spread for near-surface climate fields, at least where soil moisture drives the partition of turbulent heat fluxes. These fluxes relate to daytime near-surface temperature but also precipitation through convective boundary layer development. We verify this hypothesis over our 3 focus regions characterized by distinct precipitation regimes in summer. Indeed, the share of convective precipitation in total precipitation is lower over SW (about 50 % according to ERA-Interim) than over FR (approx. 68 %) or RU (75 %). These estimates are derived from a reanalysis and may thus be inaccurate but they characterize different precipitation regimes over Europe (supplementary Fig. S.6). However, Table 4 shows that the reduction in Tmax spread is small, and generally below 10 %. A similar analysis for precipitation and DSSR does not reveal any change in spread (not shown).

In contrast with the previous section highlighting an acute sensitivity of the ensemble mean to soil moisture, these results suggest that in our models, the ensemble spread is barely sensitive to soil moisture over most of Europe.

### 3.2.2 Analysis of variance

The simulations with constrained soil moisture proved to better capture the sign of temperature or precipitation summer inter-annual anomalies. The impact on the variance of these fields can also be assessed fairly robustly thanks to our experimental design based on ensembles. Here we analyze separately the inter-annual and intra-annual contributions to the total variance. The intra-annual term is the mean variance of daily values computed separately for each year while the inter-annual one is the variance of seasonally averaged daily values. The decomposition of the variance for a given field  $X$  follows Equation 3, where  $n_d$  is the number of summer days of each year  $y$ , i.e. 92 from June 1st to August 31st,  $N$  is the total number of summer days in the entire period ( $N = n_d * 20$ ). The overbar denotes the arithmetic mean.

$$\begin{aligned} \text{Var}(X) &= \frac{1}{N} \sum_{i=1}^N (X_i - \bar{X})^2 \\ &= \frac{1}{N} \sum_y \sum_{d=1}^{n_d} (X_d^{(y)} - \overline{X^{(y)}})^2 + \frac{n_d}{N} \sum_y (\overline{X^{(y)}} - \bar{X})^2 \end{aligned} \quad (3)$$

$$= \text{Var}_{\text{intra}(y)}(X) + \text{Var}_{\text{inter}(y)}(X)$$

405 The decomposition is applied to the observations and to the 15 members of each  
 406 experiment. This provides samples of 15 ratios  $\text{Var}(X_{\text{exp}})/\text{Var}(X_{\text{obs}})$  per experiment,  
 407 for each term of the variance decomposition. When comparing experiments, a t-test is  
 408 performed to verify if variances significantly differ at a 95% confidence level. Results  
 409 for the three focus regions are reported in Table 5.

410 For both temperature and precipitation, the intra-annual term is much greater  
 411 than the inter-annual one, by a comparable factor for observations and simulations  
 412 (not shown). This expected feature relates to the greater magnitude of day-to-day  
 413 variations as compared to that of seasonal ones. Tmax variance terms in SOIL are  
 414 systematically and significantly reduced over RU and FR with respect to REF. The  
 415 reduction also applies to SW but without statistical significance in general. In compar-  
 416 ison to observations, SOIL experiments show an improvement for FR where the  
 417 REF experiments overestimate both inter and intra-annual variances. By contrast, the  
 418 variance terms are deteriorated over RU in SOIL experiments which show an exces-  
 419 sively low amplitude. Interestingly, the two variance terms for precipitation evolve  
 420 oppositely in SOIL experiments (5 (c) and (d)) where the intra-annual variance in-  
 421 creases and the inter-annual variance decreases with respect to REF. This tendency is  
 422 however less pronounced and generally not significant for RACMO.

423 Hence, the magnitude of inter-annual variability is restrained when soil moisture  
 424 is prescribed in our experiments. This is likely the consequence of a soil mean state  
 425 wetter in SOIL than in REF experiments. The underlying mechanism, described in  
 426 Seneviratne et al (2010) section 7.2, can be described as follows: when soil moisture  
 427 is abundant enough, it stops being a limiting factor of evapotranspiration. This im-  
 428 plies that variations in soil moisture do not translate into variations of surface heat  
 429 fluxes, and therefore limit temperature and precipitation variability. As for the op-  
 430 posite trend of intra-annual variance between precipitation and temperature, we can  
 431 hypothesize that wetter soils in SOIL experiments increase the latent heat flux in re-  
 432 sponse to intense solar radiation, which mitigates daily hot temperature extremes and  
 433 also favors the occurrence of days with convective precipitation.

### 434 3.3 Focus on two extreme summers

435 A year-to-year comparison of observed vs. simulated Tmax anomalies averaged over  
 436 FR and RU is shown in Figure 10. The amplitude of simulated anomalies is damped  
 437 by the ensemble averaging. Hence, observed anomalies and simulated ensemble mean  
 438 anomalies are normalized by their respective standard deviation. A similar analysis  
 439 for precipitation can be found in supplementary Figure S.7. As mentioned in the intro-  
 440 duction, the case of 2003 over FR (left-hand column) and 2010 over RU (right-hand  
 441 column) are worthy of attention since these summers were exceptionally warm and  
 442 dry in concerned regions. Generally, SOIL outperform REF experiments in capturing  
 443 a pronounced dry and warm anomaly, except for RACMO in 2003, where R-REF and  
 444 R-SOIL both succeed in simulating these anomalies. Further insight is provided by  
 445 means of an index defined as the number of days where Tmax exceeds a given thresh-  
 446 old during these particular summers. Instead of using a common absolute temperature

value as threshold for both observation and simulations, we select relative thresholds computed monthly as the 80th percentile of Tmax distribution of each experiment and observation. This allows a fair comparison, regardless of the models bias and the observational uncertainty. The benefit of prescribing soil moisture is visible for 2010 where unlike REF, both SOIL experiments capture a positive signal correctly located over West Russia (Fig. 11). It should be kept in mind that the difference of amplitude between indices derived from observation and simulations partly results from damping due to ensemble averaging. Conversely, for 2003, despite a weak signal over Italy and the Balkans for RACMO, prescribing soil moisture only leads to small improvements (supplementary Fig. S.8). Interestingly, REF experiments do manage to simulate the observed 2003 negative SMI anomaly over Western Europe but not the one over Russia in 2010 (Fig. 12). For the latter year, SOIL protocol deeply modifies the average soil moisture state, which seems crucial in the development of the heat wave. This result supports the attribution study from Hauser et al (2016), showing that dry soil conditions alone increased the likeliness of occurrence of the 2010 event by a factor of six. For 2003, prescribing soil moisture only slightly modifies the summer-averaged soil moisture content with respect to REF. This is consistent with the limited differences found between the experiments for our heat index. We relate the apparently weak response of the models to the findings from Feudale and Shukla (2011), suggesting that the 2003 heat wave was more related to the global sea surface temperature conditions. Moreover, our index tends to highlight long-lasting warm spells, like that of 2010, as opposed to the 2003 summer heat anomaly, which was characterized by multiple shorter-lived warm spells, including the relatively brief but extremely intense early August episode. Without overlooking the contribution of land surface feedbacks in the summer 2003 extreme heat, as such already identified by e.g. Weisheimer et al (2011), we infer that our simulations miss a key ingredient such as the temporal structure of circulation regimes. This is consistent with the mechanism described in Miralles et al (2014) for mega heat waves, suggesting that atmospheric high pressure blocking anomalies trigger situations favoring clear skies with enhanced evaporative demand, leading to a rapid soil dry-out, which in turn contributes to temperature escalation. Very similar results are found when assessing these two case studies in terms of precipitation deficit, by means of a 3-month Standardized Precipitation Index of these two case studies (not shown). This supports the findings of Schär et al (1999) who pointed out the strong positive dependence of summertime precipitation to soil moisture over large parts of Europe. Underlying processes are less clear than for soil-moisture temperature interplay : the complex and sometimes reverse feedbacks and physical mechanisms involved are still being investigated (Schär et al, 1999; Seneviratne et al, 2010; Guillod et al, 2015).

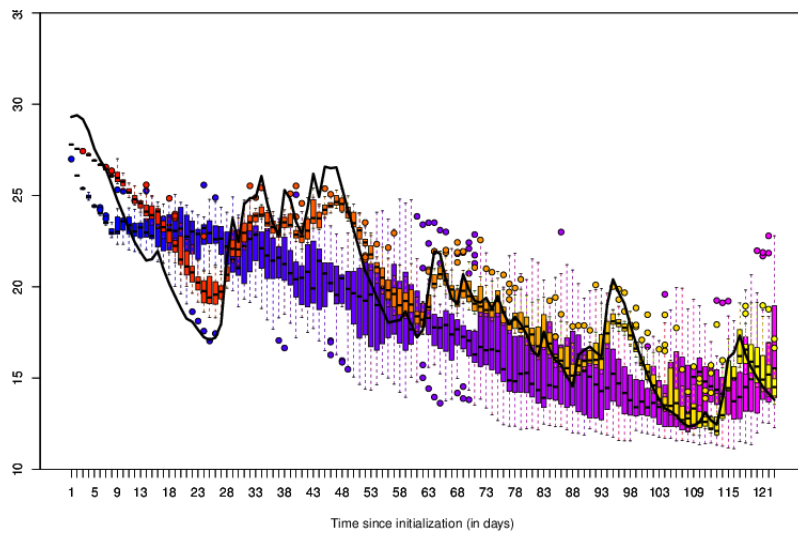
#### 4 Conclusions

This study investigates the sensitivity of inter-annual climate variability to soil moisture in climate models over Europe, based on two sets of modelling experiments. Constraining daily soil moisture towards reconstructed values provides an idealized experiment framework fitted to evaluate the models response with respect to standard

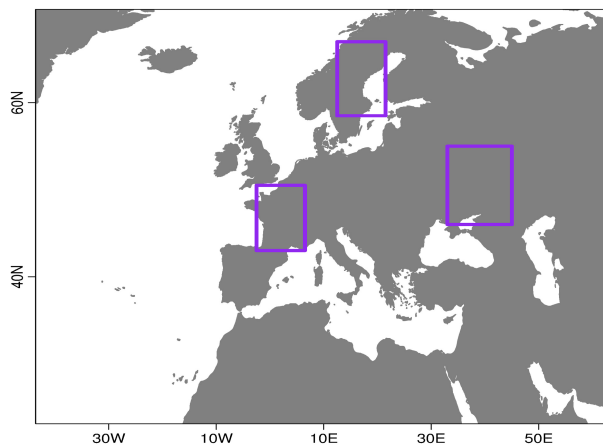
490 initialized simulations, in which soil moisture evolves freely. This work relies on  
491 two distinct limited area models using boundary forcings from two global initialized  
492 simulations carried out by different GCMs. Over large parts of Europe, climate sim-  
493 ulations with imposed realistic soil moisture are significantly more accurate in terms  
494 of temperature and precipitation inter-annual variability. Models partly disagree with  
495 each other on the most sensitive regions but the improvement is not limited to south-  
496 ern or mediterranean Europe, traditionally identified as hot-spots of land-atmosphere  
497 coupling. Over northern Europe, the results indicate that realistic soil moisture likely  
498 improves land surface temperature, sensible heat flux and convective boundary layer  
499 development. The robustness of our results is supported by an overall consistency  
500 between the two RCMs. The main conclusion from our study is that soil moisture  
501 as a boundary condition plays a major role in controlling the amount of summer  
502 climate variability in Europe, including in higher latitude regions where the evapo-  
503 transpiration is not mainly driven by soil water content. A very similar experiment  
504 carried out at the global scale with a GCM but not described here for the sake of  
505 clarity, brings similar conclusions for North America and China. However, no im-  
506 pact is found over Indian and African monsoon regions. Our results also support the  
507 findings from Mueller and Seneviratne (2012) whose observational study suggests  
508 that the extent of regions concerned by strong soil moisture-atmosphere coupling  
509 has been underestimated by previous model-only studies. Going a step further could  
510 consist in comparing the impact on summer climate of soil moisture inter-annual  
511 variability with that of day-to-day variability. Addressing such point would require  
512 complementary experiments, e.g. by prescribing a seasonally constant soil moisture  
513 anomaly derived from observations for each simulated year.

514 Our idealized framework does not fit the standard of a potential predictability  
515 study since it prevents any feedback from the atmosphere to the soil moisture and  
516 it does not address the seasonal predictability of soil moisture itself. However, the  
517 results from this study may encourage shaping any future predictability research tar-  
518 geted at making the most out of land surface initialization. In particular, it would be  
519 worth assessing the gain of prediction skill resulting from a mitigation of model sys-  
520 tematic errors. These errors on rainfall amount and intensity could rapidly alter the  
521 information included in land surface initial conditions and thus hinder the prediction  
522 skill. To our knowledge, the impact of these biases during the early stage of a forecast  
523 system integration has not been thoroughly evaluated, and would deserve a dedicated  
524 experiment. Soil moisture might well be an under-tapped source of warm season pre-  
525 dictability because of uncertainties inherent to the modelling of the land surface and  
526 its complex interactions with the atmosphere.

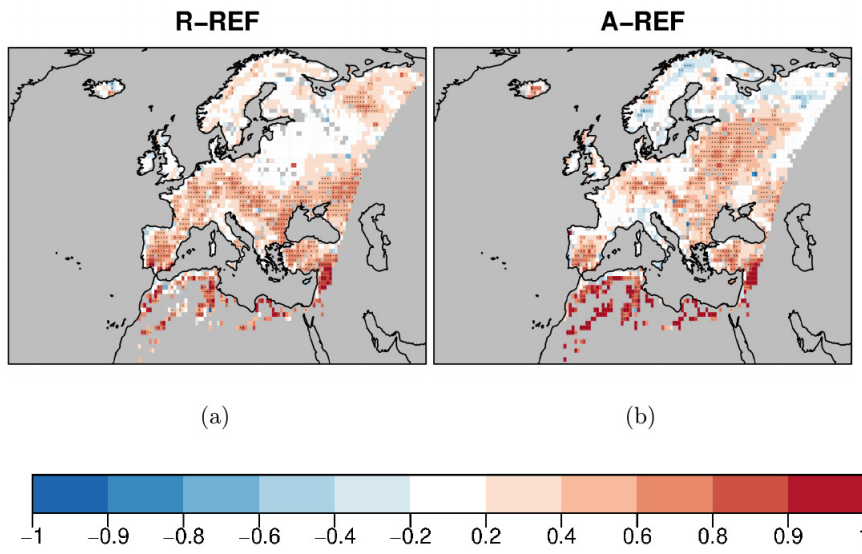
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**Fig. 1** Daily spread of superficial (seven top centimeters) soil water content in  $\text{kg.m}^{-2}$  from May 1st to Aug. 31st 1998 over FR for A-REF (cold shades) and A-SOIL (warm shades). The black solid line is the nudging reference value derived from ERA-Land. The whiskers extend to the most extreme data point which is no more than 1.5 times the interquartile range depicted by boxes. Outliers are represented by circles

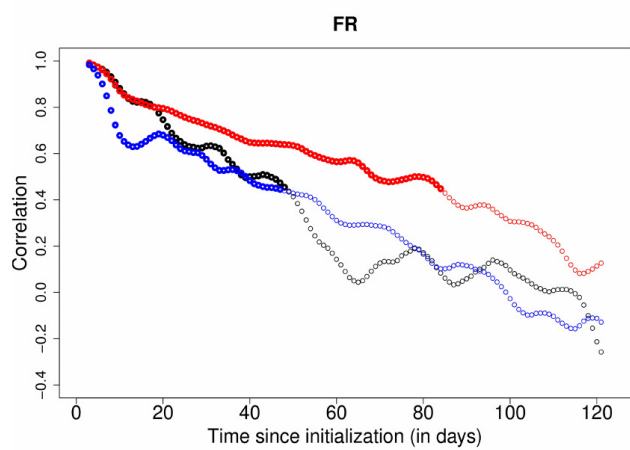


**Fig. 2** Spatial extent of focus regions

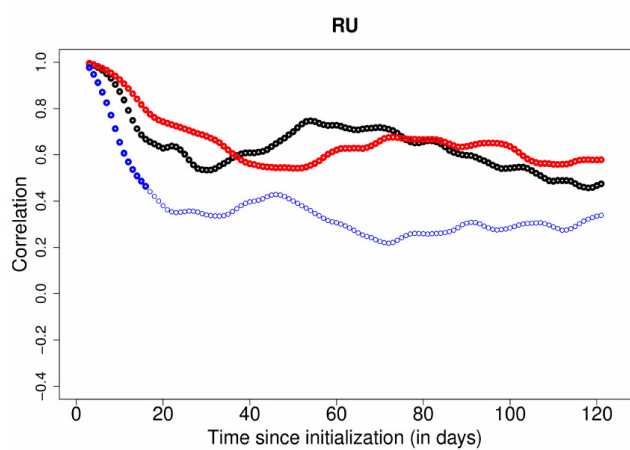


**Fig. 3** JJA SMI correlation between ERA-Land and (a) R-REF (b) A-REF. Stippling depicts values significantly different from 0 with a 95% confidence. Pixels with ERA-Land SMI values below 0.1 have been masked out

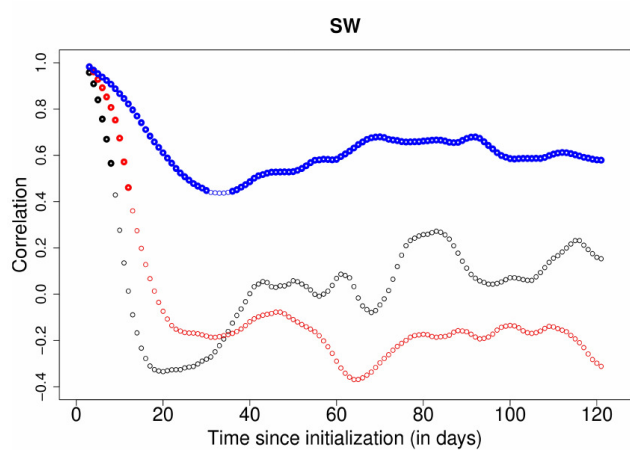




(a)

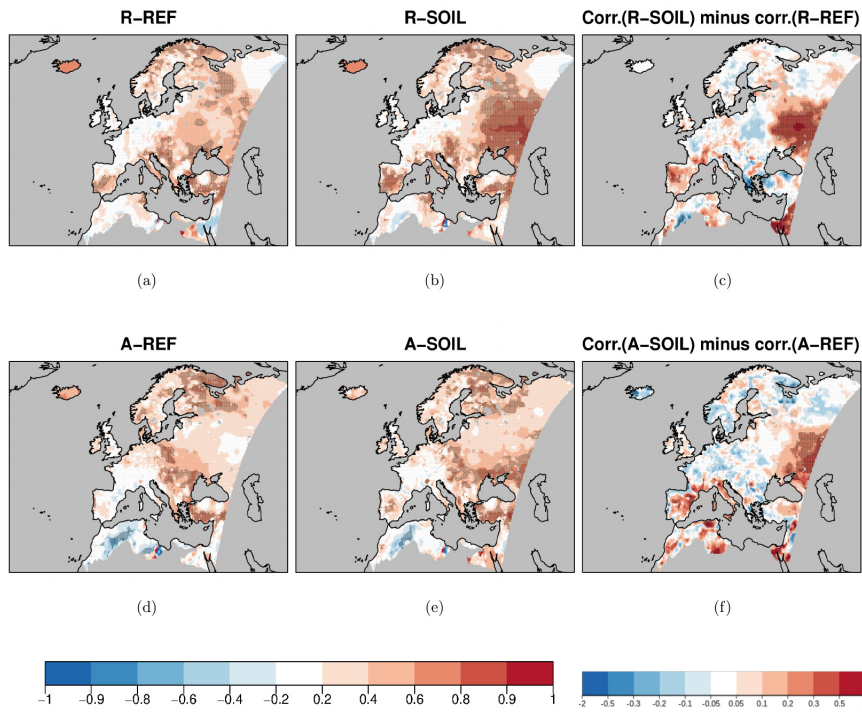


(b)

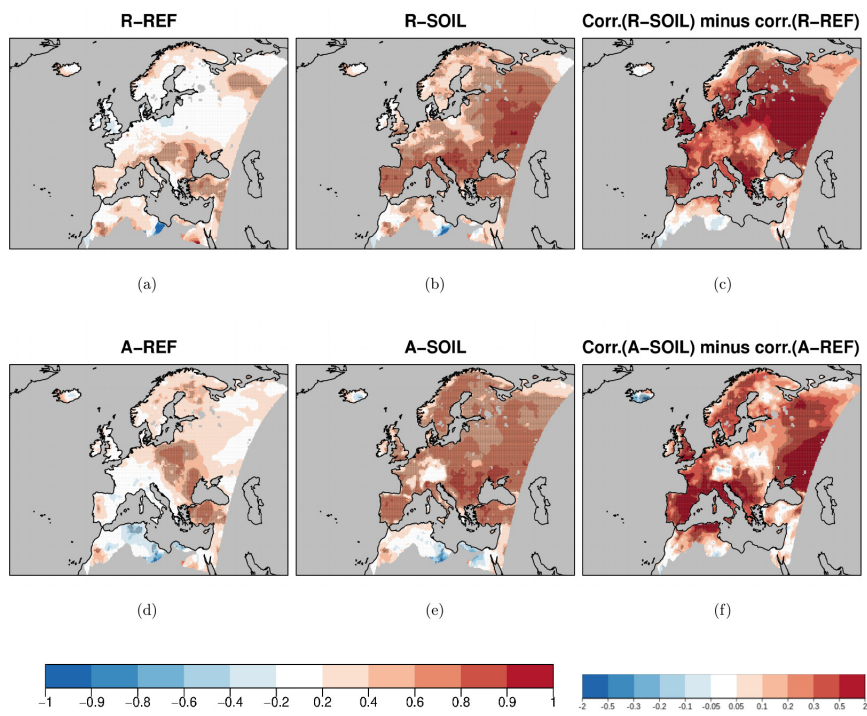


(c)

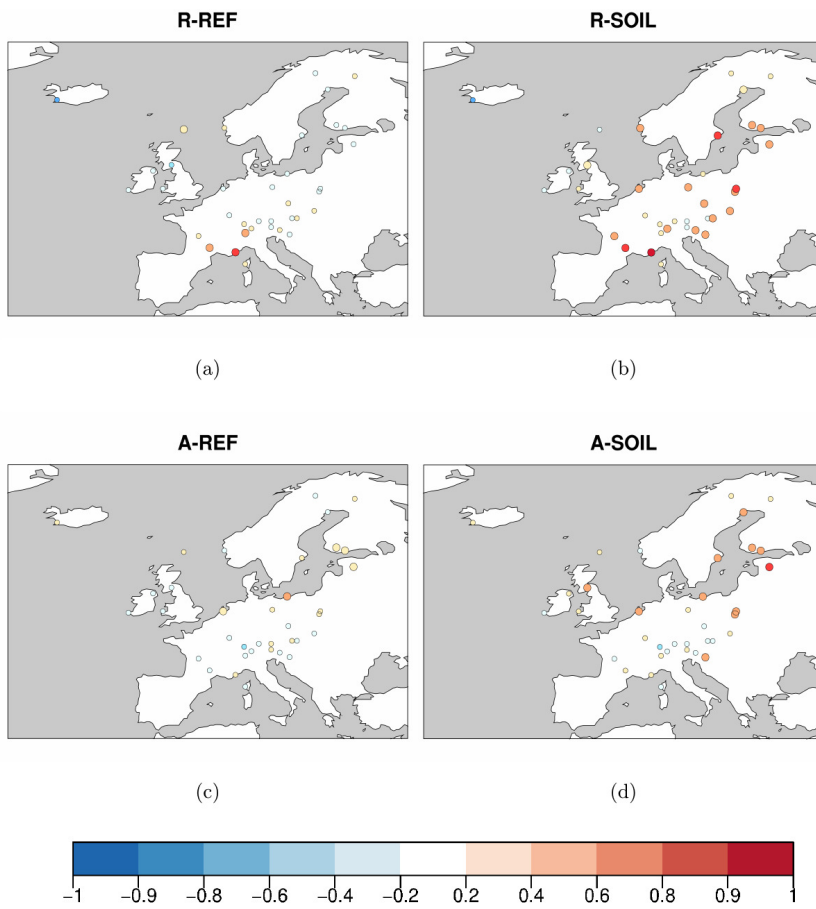
**Fig. 4** Correlation between May 1st SMI and 5-day running mean SMI for ERA-Land (black), A-REF (blue) and R-REF (red) over (a) FR (b) RU and (c) SW. Thick circles mark significant correlations with a 95% confidence level



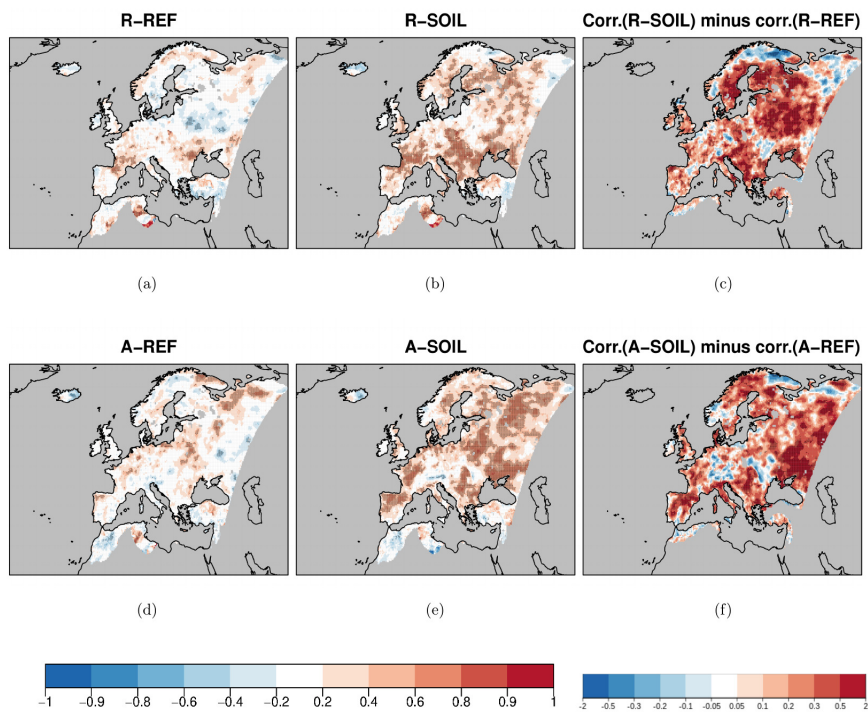
**Fig. 5** JJA Tmin correlation with EOBS for (a) R-REF (b) R-SOIL (d) A-REF (e) A-SOIL and correlation differences R-SOIL minus R-REF (c) and A-SOIL minus A-REF (f) for experiments initialized 1st May 1993-2012. Stippling depicts values significantly different from 0 with a 95% confidence.



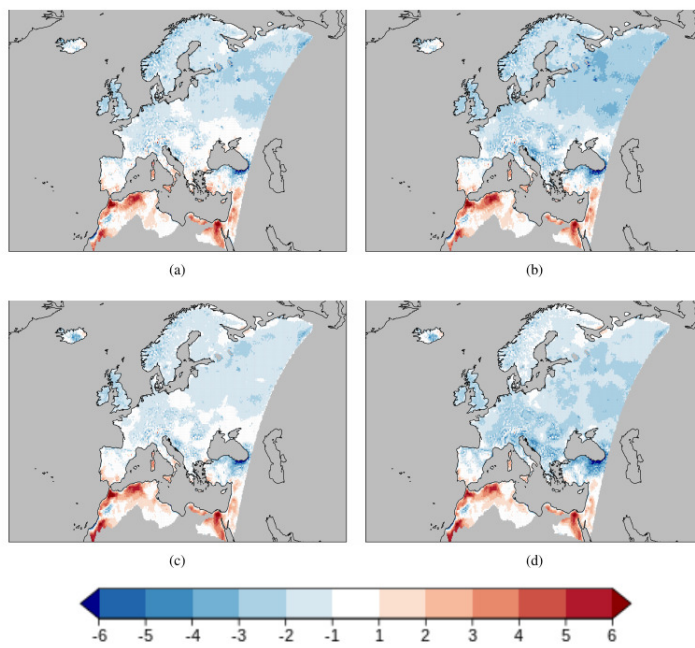
**Fig. 6** Same as Fig. 5 for Tmax.



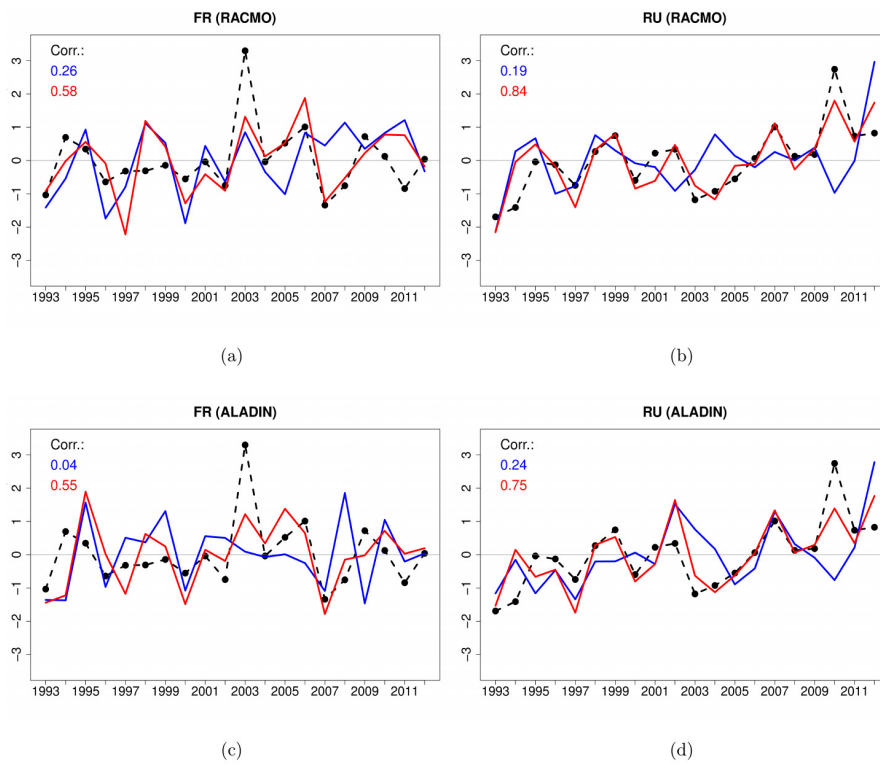
**Fig. 7** JJA DSSR correlation with GEBA for (a) R-REF (b) R-SOIL (c) A-REF and (d) A-SOIL for experiments initialized 1st May 1993-2012. Large circles depict correlations significantly positive with a 95 % confidence



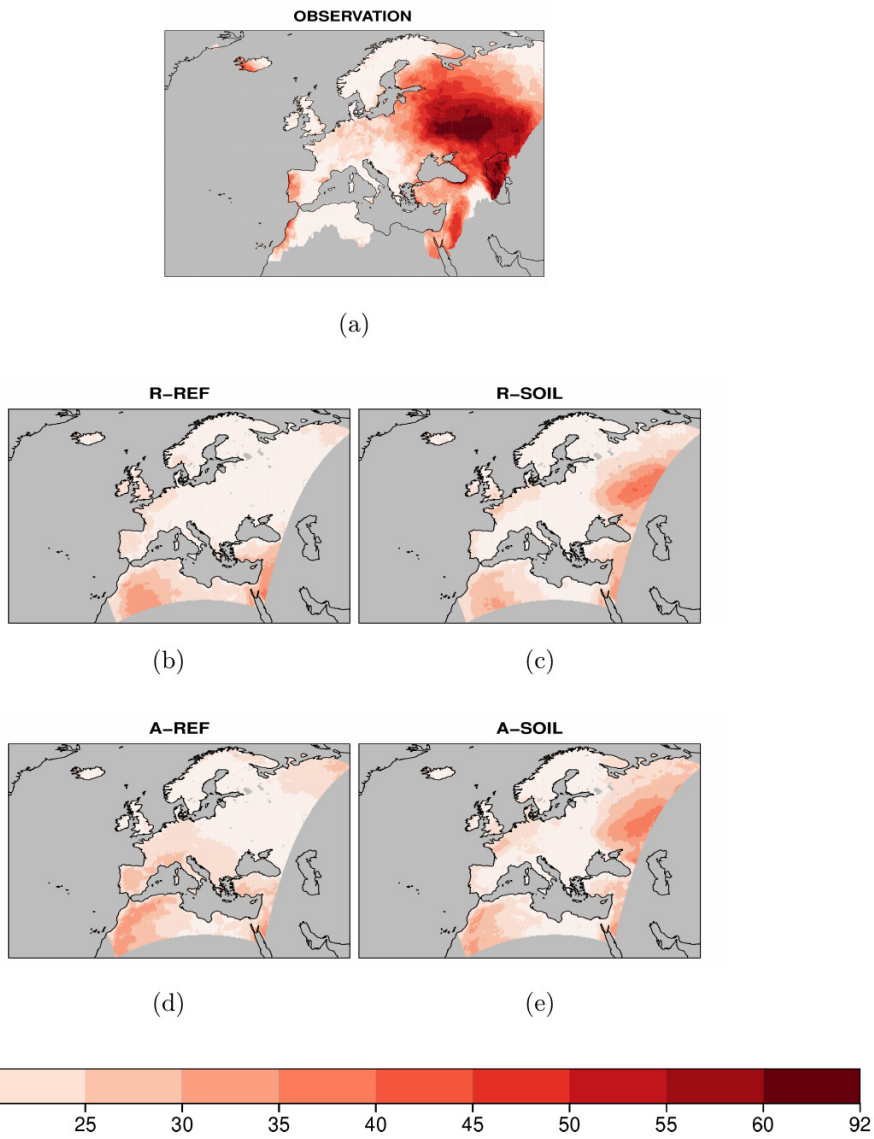
**Fig. 8** Same as Fig. 5 for precipitation



**Fig. 9** JJA Tmax bias against EOBS in K for (a) R-REF (b) R-SOIL (c) A-REF and (d) A-SOIL for experiments initialized 1st May 1993-2012

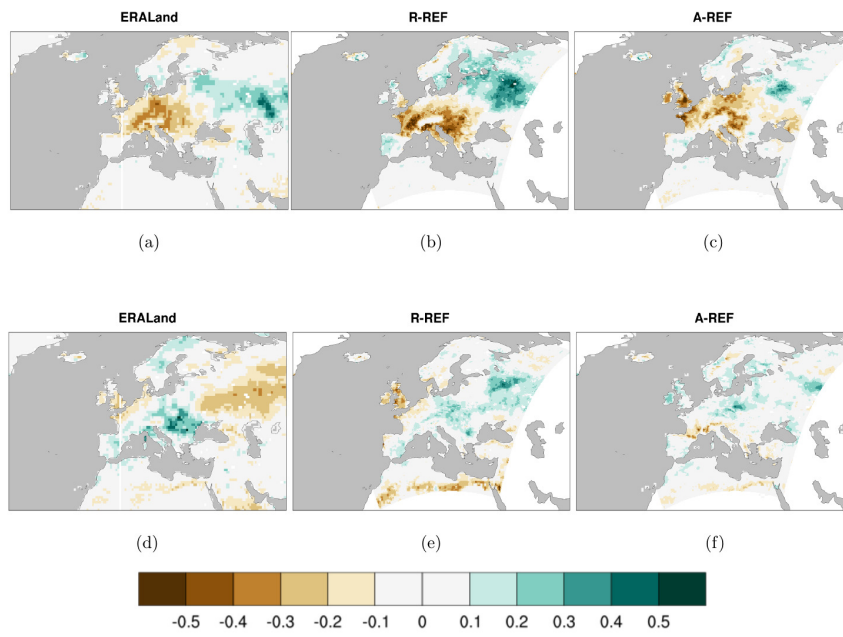


**Fig. 10** Inter-annual JJA Tmax anomalies for FR (a) (c) and RU (b) (d). The first (second) row shows RACMO (ALADIN) experiments. The blue (red) solid line depicts REF (SOIL) ensemble mean and the black broken line the reference. Same colors are used to display the correlation values of corresponding experiments with the reference in the upper left corner



**Fig. 11** Number of 2010 JJA hot days as defined in section 3.3 in (a) EOBS observation, (b) R-REF, (c) R-SOIL, (d) A-REF and (e) A-SOIL





**Fig. 12** JJA SMI anomaly: 2003 ERA-Land (a), R-REF (b), A-REF (c) and 2010 ERA-Land (d), R-REF (e) and A-REF (f)

**Table 1** Experiments summary

Name	RCM	Soil moisture	Land Surface Model
A-REF	ALADIN	Initialized	SURFEX 7.2
A-SOIL	ALADIN	Daily nudged towards ERA-Land	SURFEX 7.2
R-REF	RACMO	Initialized	HTESSEL
R-SOIL	RACMO	Daily replaced by ERA-Land	HTESSEL

**Table 2** GCM ensemble simulation characteristics

	CNRM-CM	EC-Earth 3.1
<b>Horizontal resolution</b>	T1255 (~ 70km)	T255 (~ 70km)
<b>Interactive ocean</b>	Yes	No
<b>Ensemble generation</b>	Stochastic dynamics	Singular vectors
<b>Land Surface Model</b>	SURFEX 7.2	HTESSEL
<b>Land Surface initialization</b>	Interpolated ERA-Land	ERA-Land

**Table 3** Soil moisture index spread for RACMO (a) and ALADIN (b)

	FR	RU	SW
<b>R-REF</b>	0.11	0.08	0.10
<b>R-SOIL</b>	$8.1 \times 10^{-3}$	$5.9 \times 10^{-3}$	$8.9 \times 10^{-3}$
<b>Ratio R-SOIL/R-REF</b>	7.5%	7.4%	9.1%

(a)

	FR	RU	SW
<b>A-REF</b>	0.09	0.06	0.05
<b>A-SOIL</b>	$8.6 \times 10^{-3}$	$5.3 \times 10^{-3}$	$5.9 \times 10^{-3}$
<b>Ratio A-SOIL/A-REF</b>	10.0%	9.3%	10.8%

(b)

**Table 4** Tmax spread for RACMO (a) and ALADIN (b)

	FR	RU	SW
<b>R-REF</b>	3.91	3.27	3.28
<b>R-SOIL</b>	3.62	3.07	2.94
<b>Ratio R-SOIL/R-REF</b>	92.6%	93.9%	89.7%

(a)

	FR	RU	SW
<b>A-REF</b>	4.01	2.82	3.64
<b>A-SOIL</b>	3.25	2.75	2.74
<b>Ratio A-SOIL/A-REF</b>	81.1%	97.4%	75.2%

(b)

**Table 5** Intra-annual (a) and inter-annual (b) variance ratio for Tmax, and ((c) and (d) respectively) for precipitation. Bold figures highlight significant differences between REF and SOIL experiments

Tmax (intra)	FR	RU	SW	Tmax (inter)	FR	RU	SW
R-REF/OBS	1.37	0.97	1.02	R-REF/OBS	1.47	0.55	0.72
R-SOIL/OBS	1.22	0.84	0.93	R-SOIL/OBS	0.94	0.41	0.58
A-REF/OBS	1.35	1.18	0.81	A-REF/OBS	1.78	0.82	0.50
A-SOIL/OBS	0.91	0.72	0.81	A-SOIL/OBS	0.62	0.43	0.42
(a)				(b)			

Pr (intra)	FR	RU	SW	Pr (inter)	FR	RU	SW
R-REF/OBS	1.09	0.91	0.99	R-REF/OBS	1.65	0.95	0.88
R-SOIL/OBS	1.11	1.04	1.00	R-SOIL/OBS	1.42	0.75	0.87
A-REF/OBS	1.04	0.72	1.01	A-REF/OBS	2.07	1.33	1.02
A-SOIL/OBS	1.28	0.98	1.05	A-SOIL/OBS	1.56	1.03	0.91
(c)				(d)			

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